

# TERRITORY OF THE VIRGIN ISLANDS

## THE SECURITIES AND INVESTMENT BUSINESS ACT, 2010 ("the Act")

### INVESTMENT BUSINESS LICENCE [Sections 5, 6(1), 6(2), and 6(5)]

By virtue of the powers granted by sections 5 and 6(2) of the Act, and in accordance with the provisions of sections 6(2), and (5) of the Act, and section 40B of the Financial Services Commission Act, 2001, the Financial Services Commission hereby grants to

### **OT Group Ltd.**

an investment business licence of the following categories:

Category 1: Sub-Category A: Dealing in Investments (as Agent);

Category 1: Sub-Category B: Dealing in Investments (as Principal);

Category 2: Arranging Deals in Investments;

Category 4: Sub-Category A: Investment Advice (Excluding Mutual Funds); and

Category 5: Sub-Category A: Custody of Investments (Excluding Mutual Funds);

This licence shall remain in force unless it is suspended or revoked in accordance with section 38 of the Financial Services Commission Act, 2001

Given under the seal of the )  
Commission hereto affixed )  
by Yolanda McCoy )  
a duly authorised officer of the )  
Commission this 31<sup>st</sup> day of )  
.....**March**.....**2023** )



  
.....  
Financial Services Commission

**Certificate No. SIBA/L/23/1157**

This licence is the property of the British Virgin Islands Financial Services Commission and should be surrendered to the Commission's office upon demand. A current list of licensees may be viewed on the Commission's website at [www.bvifsc.vg](http://www.bvifsc.vg).